



02/10/97 RECEIVED JAN 15 1997



UNITED STATES DEPARTMENT OF COMMERCE

Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
08/735,385	10/21/96 KIM		C 205.7

0242/0110

MARK L BOSSE  
GILEAD SCIENCES INC  
353 LAKESIDE DRIVE  
FOSTER CITY CA 94404

DOCKETED

0000

DATE MAILED: 01/10/97

## NOTICE TO FILE MISSING PARTS OF APPLICATION

Filing Date Granted

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted ALONG WITH THE PAYMENT OF A SURCHARGE for items 1 and 3-6 only of \$ 130 for a ☒ large entity ☐ small entity in compliance with 37 CFR 1.27. The surcharge is set forth in 37 CFR 1.16(e). Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ large entity ☐ small entity (verified statement filed), is \$ 130.

☐ 1. The statutory basic filing fee is:

- ☐ missing.  
☐ insufficient.

Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a verified small entity statement claiming such status (37 CFR 1.27).

☐ 2. Additional claim fees of \$ \_\_\_\_\_, including any multiple dependent claim fees, are required.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☐ 3. The oath or declaration:

- ☐ is missing.  
☐ does not cover the newly submitted items.  
☐ does not identify the application to which it applies.  
☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☒ 4. The signature(s) to the oath or declaration is/are:

- ☐ missing.  
☒ by a person other than inventor or person qualified under 37 CFR 1.42, 1.43, or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$ \_\_\_\_\_ processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the response and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the response.**

Customer Service Center  
Initial Patent Examination Division (703) 308-1202



02/10/97

I hereby certify that this paper (along with any paper referred to as being transmitted therewith) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

Robin Torres

(Type or print name of person mailing paper)

Date: February 6, 1997

(Signature of person mailing paper)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Choung Kim

Serial No.: 08/735,385

Group No.: Unassigned

Filed: October 21, 1996

Examiner: Unassigned

For: PIPERIDINE COMPOUNDS

Docket No. 205.7

Assistant Commissioner for Patents  
Washington, D.C. 20231

ATTENTION: Box Missing Part

### COMPLETION OF FILING REQUIREMENTS

- I. ☒ This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed January 10, 1997.
- ☒ A copy of the Notice to File Missing Parts of Application----Filing Date Granted (Form PTO-1533) is enclosed.

### DECLARATION OR OATH

- II. ☐ No original declaration or oath was filed and enclosed is the original declaration or oath for this application.
- OR
- ☒ The original declaration or oath which was filed was determined to be defective. A new original oath or declaration is attached.

Attached is a

- (c) ☐ Statement by a registered attorney that the application filed in the PTO is the application which the inventor executed by signing the declaration.
- (d) ☐ Statement that the "attached" specification is a copy of the specification and any amendments thereto which were filed in the PTO to obtain the filing date.

### AMENDMENT CANCELLING CLAIMS

- III. ☐ Cancel claims \_\_\_\_\_ inclusive.

### TRANSMITTAL OF ENGLISH TRANSLATION OF NON-ENGLISH LANGUAGE PAPERS

IV.

- ☐ Submitted herewith is a verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the PTO.

#### SMALL ENTITY STATUS

V.

- ☐ A verified statement that this filing is by a small entity
- ☐ is attached
- ☐ a separate refund request accompanies this paper
- ☐ was filed on \_\_\_\_\_ (original).  
Please see attached Notification of Loss of Entitlement to Small Entity Status

#### COMPLETION FEES

VI. 1. Filing fee

- ☐ original patent application  
(37 CFR 1.16 (a)----\$710.00; small entity----\$355.00) \$ \_\_\_\_\_
- ☐ design application  
(37 CFR 1.16 (f)----\$290.00; small entity----\$145.00) \$ \_\_\_\_\_

2. fees for claims

- ☐ each independent claim in excess of 3  
(37 CFR 1.16 (b)----\$74.00; small entity----\$37.00) \$ \_\_\_\_\_
- ☐ each claim in excess of 10  
(37 CFR 1.16 (c)----\$22.00; small entity----\$11.00) \$ \_\_\_\_\_
- ☐ multiple dependent claim(s)  
(37 CFR 1.16 (d)----\$230.00; small entity----\$115.00) \$ \_\_\_\_\_

3. surcharge fees

- ☐ late payment of filing fee *and/or*
- ☒ late filing of original declaration or oath  
(37 CFR 1.16 (e)----\$130.00; small entity----\$65.00) \$ 130.00

4. ☐ petition and fee for filing by other than all the inventors or a person not the inventor  
(37 CFR 1.17 (h) and 1.47----\$130.00) \$ \_\_\_\_\_

5. ☐ fee for processing an application filed with a specification in a non-English language  
(37 CFR 1.17 (k) and 1.52 (d)----\$130.00) \$ \_\_\_\_\_

6. ☐ fee for processing and retention of application  
(37 CFR 1.21 (l) and 1.53 (d)----\$300.00) \$ \_\_\_\_\_

Total completion fees \$ 130.00

#### EXTENSION OF TIME

VII.

The proceedings herein are for a patent application and the provisions of 37 CFR 1.136 (a) apply.

- (a) ☐ Applicant petitions for an extension of time, the fees for which are set out in 37 CFR 1.17 (a)-(d)), for the total number of months checked below:

	Extension (months)	Fee for other than small entity	Fee for small entity
<input type="checkbox"/>	one month	\$110.00	\$55.00
<input type="checkbox"/>	two months	\$380.00	\$190.00
<input type="checkbox"/>	three months	\$900.00	\$450.00
<input type="checkbox"/>	four months	\$1,400.00	\$700.00

Fee \$ \_\_\_\_\_

If an additional extension of time is required please consider this a petition therefor.

- ☐ An extension for \_\_\_\_\_ months has already been secured and the fee paid therefor of \$ \_\_\_\_\_ is deducted from the total fee due for the total months of extension now requested.

Extension fee due with this request \$ \_\_\_\_\_

OR

- (b) ☒ Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

#### TOTAL FEE DUE

VIII. The total fee due is  
Completion fee(s) \$ 130.00  
Extension fee (if any) \$ \_\_\_\_\_  
TOTAL FEE DUE \$ 130.00

#### PAYMENT OF FEES

- IX. ☐ Check in the amount of \$ \_\_\_\_\_  
☒ Charge Account No. 07-1250 in the amount of \$ 130.00  
A duplicate of this transmittal is attached.

#### AUTHORIZATION TO CHARGE ADDITIONAL FEES

- X. ☒ The Commissioner is hereby authorized by this document to charge any additional fees which may be required by this paper and during the entire pendency of this application to Account No. 07-1250, except the issue fee at or before mailing of Notice of Allowance, pursuant to 37 CFR 1.311 (b).

Reg. No. 35,071

Tel. No.: (415) 572-6569

  
SIGNATURE OF ATTORNEY

Mark L. Bosse

Type or print name of attorney

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